

Exam. Code : 217603

Subject Code : 4618

M.Com. 3rd Semester

MC-322 : SECURITY MARKET OPERATIONS

Time Allowed—3 Hours]

[Maximum Marks—100

SECTION—A

Note :— Attempt any **TEN** questions from Section A. Each question carries **2** marks.

1. Write short notes on :

- (a) Private placement
- (b) Online trading
- (c) NIFTY
- (d) Option
- (e) Listing of securities
- (f) IPO
- (g) ADR
- (h) ICRA
- (i) CSDL
- (j) Merchant banker
- (k) Dematerialisation of securities
- (l) Credit rating.

2×10=20

SECTION—B

Note :— Attempt any **TWO** questions. Each question carries **20** marks.

2. What are Mutual Funds ? What are the benefits of investing in Mutual Funds ? Discuss the future scope of Mutual Fund Industry in India.
3. What do you understand by Stock Market Index ? Discuss the methodology for calculating Stock Market Index. Also name a few important stock market indices in India.
4. What do you mean by Capital Market ? Discuss in detail various Capital Market instruments.
5. Describe some of the major Stock Exchanges in India. How is OTCEI different from other Stock Exchanges ? What are the steps taken by Government to improve the working of Stock Exchanges ? 2×20=40

SECTION—C

Note :— Attempt any **TWO** questions. Each question carries **20** marks.

6. Examine the role of FII's in Indian Capital Markets. Also enlist various legal provisions with respect to FII's in India.
7. Discuss in detail the procedure for Dematerialisation of Securities.
8. What do you mean by Credit Rating ? Name few credit rating agencies in India. What methodology is adopted by credit rating agencies in India to rate the debt instruments ?
9. Discuss the powers of SEBI with respect to protection of investors. 2×20=40